I hereby certify that this correspondence is being filed via EFS-Web with the United States Patent and Trademark Office on October 18, 2006

TOWNSEND and TOWNSEND and CREW LLP

Attorney Docket No.: 02307O-079820US Client Reference No.: 2000-493-1

OFFICE OF PETITIONS

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Marilynn E. ETZLER et al.

Application No.: 09/657,631

Filed: September 6, 2000

For: LNP, A PROTEIN INVOLVED IN THE INITIATION OF MYCORRHIZAL

INFECTION IN PLANTS

Customer No.: 20350

Confirmation No.:

Examiner:

Art Unit:

NOTIFICATION OF ERROR IN SMALL ENTITY STATUS AND PAYMENT OF DEFICIENCY OWED

UNDER 37 CFR §1.28(c)

October 11, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The above-identified application filed on September 6, 2000, originally correctly claimed small entity status in good faith. However, it has recently been discovered that such status as a small entity changed on September 1, 2002, when assignee entered into a licensing agreement. Small entity status was continued in good faith when the issue fee was paid, but in error due to inadvertence and without deceptive intent. The following submission and itemization hereby meet the requirements of 37 C.F.R. Sections 1.28(c)(1) and (c)(2) and MPEP Section 509.03(X). Therefore, Applicant respectfully requests the total deficiency payment be processed as set out below and that the error be excused.

01 FC:2501

justment date: 10/30/2006 SLUANG2 716/2004 SFELEKE2 00000229 201430 FC:2501 700.00 CR 09657631

10/30/2006 SLUANG2 00000003 201430 09657631

01 FC:1501

1400.00 DA

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### ITEMIZATION AND CALCULATION OF THE DEFICIENCY OWED

DATE FILED	TYPE OF FEE	SMALL FEE ACTUALLY	(CURRENT) LARGE FEE	DEFICIENCY PAYMENT
		PAID	APPLIED	OWED
12/15/04	Issue fee	\$700.00	\$1400.00	\$700.00

TOTAL DEFICIENCY OWED: \$700.00

Applicants hereby authorize the Commissioner to deduct the total fees of \$700.00 from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account. A duplicate of this sheet is enclosed.

Applicant understands that under 37 CFR §1.27(g)(2) and §1.28(d) this submission is treated as a notification of loss of small entity status.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 415.576.0200.

Respectfully submitted,

Matthew E. Hinsch Reg. No. 47,651

#### Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834 Tel: 415-576-0200

Fax: 415-576-0200

MEH:sba

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Electronic Acknowledgement Receipt						
EFS ID:	1261250					
Application Number:	09657631					
International Application Number:	RECEIVED					
Confirmation Number:	1727 OCT <b>2 3</b> 2006					
Title of Invention:	UFFICE OF PETITIONS  LNP, A PROTEIN INVOLVED IN THE INITIATION OF MYCORRHIZAL INFECTION IN PLANTS					
First Named Inventor/Applicant Name:	Marilynn E. Etzler					
Customer Number:	20350					
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Attorney Docket Number:	23070-079820US					
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Time Stamp:	19:42:04					
Application Type:	Utility					

# Payment information:

Submitted with Payment	no	

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	NotificationOfErrorInSmallEn tityStatus.pdf	71293	no	2
Warnings:					